

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. AUS920030169US1

Date: 7/17/03

Transmitted herewith for filing is the patent application of Inventor(s):

Paul Anthony Ashley, Sridhar R. Muppidi, Mark Vandenwauver

For: Method and system for stepping up to certificate-based authentication without breaking an existing SSL session03840 U.S. PTO
10/621927
07/17/03ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION
ASSIGNEE RESIDENCE: ARMONK, NEW YORK

Enclosed are also:

- ☒ 31 Pages of Specification including an Abstract
☒ 21 Pages of Claims
☒ 5 Sheet(s) of Drawings (Formal)
☒ A Declaration and Power of Attorney
☒ Form PTO 1595 and assignment of the invention to IBM Corporation
☒ Form PTO 1449 and Information Disclosure Statement
☒ Copies of References
☒ Our return postcard

CLAIMS AS FILED

FOR	Number Filed		Number Extra		Rate		Basic Fee (\$750)
Total Claims	33	-20 =	13	X	\$ 18	=	\$234
Independent Claims	6	-3 =	3	X	\$ 84	=	\$252
Multiple Dependent Claims	0			X	\$280	=	\$0
Total Filing Fee =							\$1236

- ☒ Please charge \$1236 to IBM Corporation, Deposit Account No. 09-0447.
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to IBM Corporation, Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
☒ Any additional filing fees required under 37 CFR § 1.16.
☒ Any patent application processing fees under 37 CFR § 1.17.

Respectfully,

Jeffrey S. LaBaw
 Jeffrey S. LaBaw
 Reg. No. 31,633
 Intellectual Property Law Dept.
 IBM Corporation
 11400 Burnet Road 4054
 Austin, Texas 75758
 Telephone: (512) 823-0494